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Customer Number

Patent
Case No.: 59333US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: SCHULTZ, JOHN C.

Application No.: 10/727220

Group Art Unit: 2818

Filed: December 2, 2003

Examiner: Huynh, Andy

Title: ILLUMINATION ASSEMBLY

INFORMATION DISCLOSURE STATEMENTMail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Date

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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

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This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

September 25, 2006
Date

By: J. R. Pralle
Jay R. Pralle, Reg. No.: 52,131
Telephone No.: 651-733-6750

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/727220
	Filing Date	December 2, 2003
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	Examiner Name	Huynh, Andy
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U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,580,471	12-03-1996	Fukumoto et al.	
	A2	US- 5,886,313	03-23-1999	Krause et al.	
	A3	US- 6,200,134 B1	03-13-2001	Kovac et al.	
	A4	US- 2002/0171047 A1	11-21-2002	Chan et al.	
	A5	US- 2003/0068113 A1	04-10-2003	Janz et al.	
	A6	US- 2003/0214571 A1	11-20-2003	Ishikawa et al.	
	A7	US-			
	A8	US-			
	A9	US-			
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	B1	JP	2002-065603 A	03-05-2002		English Abstract
	B2	JP	02-142695	05-31-1990		English Abstract
	B3	WO	95/20811	08-03-1995		
	B4					
	B5					

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	A1	US- 6,155,699	12/2000	Miller et al.	
	A2	US- 6,733,711	6/2004	Durocher et al.	
	A3	US- 6,943,380	09/2005	Ota et al.	
	A4	US- 6,949,772	09/2005	Shimizu et al.	
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	A6	US- 6,521,915	02/2003	Odaki et al.	
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	A8	US-			
	A9	US-			
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		Doc. Number-(Kind Code if Known)				
	A1	US-	4,897,771	01/1990	Parker, Jeffrey R.	
	A2	US-	6,343,872	02/2002	Cerone et al.	
	A3	US-	5,317,484	05/1994	Davenport et al.	
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	A5	US-	3,902,059	08/1975	McNamara, Jr., Albert C.	
	A6	US-	4,755,918	07/1988	Pristash et al.	
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	A8	US-	5,534,718	07/1996	Chang, Fa-Sheng	
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	A1	US- 6,921,90	07/2005	Kazakevich, Yuri	
	A2	US- 5,693,043	12/1997	Kittrell et al.	
	A3	US- 6,350,041	02/2002	Tarsa et al.	
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	A7	US- 7,029,277	04/2006	Gofman et al.	
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	A10	US- 2005/0177208	08/2005	Irwin, Dean S.	
	A11	US-			
	A12	US-			
	A13	US-			

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